

**National Exams – May 2011**  
**Electro-Optical Engineering**

3 hours duration

**NOTES:**

1. If doubt exists as to the proper interpretation of any question, the candidate is urged to submit with the answer paper, a clear statement about any assumptions made.
2. Candidates may use one of two calculators, the Casio or Sharp approved models.
3. This is a "Closed-Book" examination. The candidate may have a single 8.5 inch by 11 inch sheet (both sides) of hand-written notes as an aid for the examination.
4. Any **five** questions constitute a complete paper. Only the first five questions as they appear in your answer book will be marked.
5. All questions are of equal value.
6. This examination paper has 3 pages.

**Values of common constants:**

$$\epsilon_0 = 8.854 \times 10^{-12} \text{ F/m}$$

$$\mu_0 = 4\pi \times 10^{-7} \text{ H/m}$$

$$c = 2.998 \times 10^8 \text{ m/s}$$

$$q = 1.602 \times 10^{-19} \text{ C}$$

$$h = 6.626 \times 10^{-34} \text{ J}\cdot\text{s}$$

$$K = 1.381 \times 10^{-23} \text{ J/}^\circ\text{K}$$

$$0^\circ\text{K} = -273^\circ\text{C}$$

$$1 \text{ \AA} = 1.0 \times 10^{-10} \text{ m}$$

$$\text{Si} \quad \epsilon_r = 11.8$$

$$\text{Si} \quad n = 3.42$$

$$\text{Si} \quad E_g = 1.11 \text{ eV}$$

$$\text{Ge} \quad \epsilon_r = 16.0$$

$$\text{Ge} \quad n = 4.01$$

$$\text{Ge} \quad E_g = 0.67 \text{ eV}$$

$$\text{GaAs} \quad \epsilon_r = 13.2$$

$$\text{GaAs} \quad n = 3.63$$

$$\text{GaAs} \quad E_g = 1.41 \text{ eV}$$

$$\text{InGaAsP} \quad n = 3.5$$

$$\text{LiNbO}_3 \quad \epsilon_r = 32$$

$$\text{LiNbO}_3 \quad n_o = 2.30$$

Useful formulas:  $\int \frac{dx}{a^2 + x^2} = \frac{1}{a} \arctan\left(\frac{x}{a}\right)$   $P(n) = \frac{N^n \exp(-N)}{n!}$

$$\text{Al}_x\text{Ga}_{1-x}\text{As} \quad E_g \text{ (eV)} = 1.424 + 1.266x + 0.266x^2$$

$$I_s = R_o \sqrt{P_o P_i} \cos\theta \quad n(E) = n_o - \frac{1}{2} r n_o^3 E \quad x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

## 07-Elec-B10, Electro-Optical Engineering

### Question 1

- (a) Sketch the loss versus wavelength curve for a silica fiber and label all the important features.
- (b) What is Rayleigh scattering and how would it appear on an optical time domain reflectometer test of an optical fiber?
- (c) Each of the following fibers has  $n_{\text{core}}=1.454$  and  $n_{\text{clad}}=1.450$ . Each also has chromatic dispersion  $10\text{ps}/(\text{nm}\cdot\text{km})$ . Determine the numerical aperture, number of modes and approximate band-width length (BL) product for each fiber if the source is a laser diode at  $1550\text{ nm}$  with rms spectral width  $2\text{ nm}$  and RZ signalling is used for the digital signal.
  - (i) silica fiber with  $25\text{ }\mu\text{m}$  core radius.
  - (ii) silica fiber with  $5\text{ }\mu\text{m}$  core radius.
- (d) What is a DWDM optical link? You must choose a single mode fiber for a DWDM optical link. You can choose one with  $20, 2, 0$  or  $-5\text{ ps}/(\text{nm}\cdot\text{km})$  chromatic dispersion. Which fiber would you choose and explain your choice.

### Question 2

- (a) An optical fiber has core diameter  $62\text{ }\mu\text{m}$  and  $\text{NA}=0.28$ . The output light from the fiber is collimated by a lens with focal length  $10\text{ mm}$ . What is the diameter of the collimated beam and what is the beam divergence?
- (b) Two compatible multimode stepped-index fibers are joined in a mechanical splice with a small air gap. The fiber axes and end faces are perfectly aligned in the splice. Determine the index of refraction of the fiber core if the splice has loss  $0.35\text{ dB}$ .
- (c) A Mach-Zehnder interferometer (MZI) can be used as an optical intensity modulator. Consider a MZI made on  $\text{LiNbO}_3$  crystal substrate having electro-optic coefficient  $r = 30\text{pm}/\text{V}$ . The thickness of the substrate is  $1\text{ mm}$ . The MZI uses channel optical waveguide with very good optical confinement. One arm of the MZI has a set of electrodes of length  $1\text{ cm}$  across which a voltage is applied. The electrode spacing is  $25\text{ }\mu\text{m}$ . A single mode silica fiber ( $n_{\text{core}}=1.5$ ) is attached to each end of the MZI.
  - (i) Sketch the device and describe how it acts as a light intensity modulator.
  - (ii) What is the voltage required for zero light output?
  - (iii) If a laser diode at  $1550\text{ nm}$  is used as the light source and the diode has spectral width  $100\text{ MHz}$ , check that the coherence length of the fiber/device is adequate to ensure proper operation of the MZI.
  - (iv) What is the advantage of such an external modulator over direct modulation?

### Question 3

- (a) A laser diode must operate close to  $1550\text{ nm}$  wavelength. The length of its cavity is  $300\text{ }\mu\text{m}$  and the effective width of the gain curve is  $9\text{ nm}$ . The active layer has refractive index  $3.55$  and the effective confinement factor is  $1.0$ 
  - (i) What is the lasing wavelength closest to  $1550\text{ nm}$ ?
  - (ii) How many lasing modes does the diode have? Sketch the spectrum of the laser diode.
  - (iii) What is the finesse of the laser diode cavity?
  - (iv) If the extra cavity losses not accounted for in the cavity reflectance loss are  $3\text{ dB}/\text{cm}$ , what is the threshold gain of the laser diode?
  - (v) What is the photon lifetime in the laser diode?
  - (vi) What is the slope efficiency in  $\text{W}/\text{A}$  of the laser diode above the threshold current.
- (b) The effective width of the active layer in a laser diode can be defined by index-guiding or by gain-guiding. Explain the difference between these two methods. Use diagrams to illustrate your answer.
- (c) Direct modulation of a laser diode is limited to a modulation rate of a few GHz. What limits the modulation rate, and how would you operate the diode to achieve the maximum direct modulation rate? Explain your answers.

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### Question 4

- (a) Discuss unique properties of a P-n-N double heterostructure LED and sketch with proper labelling the energy-band diagram of the structure.
- (b) An  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  LED is designed for peak emission wavelength of 820 nm at 25°C. What is the mole fraction  $x$ ?
- (c) What is the expected FWHM spectral width of the LED in part (b)?
- (d) A GaAs LED is forward biased with current 100 mA at a voltage of 1.5V, and each emitted photon has energy 1.43 eV. For the GaAs material,  $n = 3.63$ , the minority carrier lifetime for radiative and non-radiative recombination is 120 ns and 100 ns, respectively, and the absorption loss is 10%. Calculate
  - (i) the internal quantum efficiency of the LED
  - (ii) the internal power efficiency of the LED
  - (iii) the external power efficiency if the LED is emitting into air.

### Question 5

A 1550 nm single mode digital fiber optic link needs to operate at 565 Mb/s in NRZ format over 50 km without repeaters. A single mode InGaAsP laser diode launches an average optical power -13 dBm into the fiber. The laser diode has RIN noise -120dB/Hz. The laser diode has 1 nm spectral width and the rise time of the transmitter is 0.5 ns. The fiber has a loss of 0.35 dB/km and dispersion 2.5 ps/(nm-km). There is a splice with loss of 0.1 dB every kilometer. The coupling loss at the receiver is 0.5 dB and the receiver uses an InGaAs APD with sensitivity of -39dBm. The receiver bandwidth is 500 MHz. Excess noise penalties are predicted to be 1.5 dB.

- (a) Set up an optical power budget for this link and find the system margin.
- (b) Calculate the system risetime. What can you conclude about the design of the system?
- (c) If the data was in RZ format instead of NRZ format, what would be the effect on the system design?

### Question 6

- (a) A photodetector can be operated in the photovoltaic mode or the photoconductive mode. Draw the IV diagram of a photodetector under different levels of optical illumination, and show the load lines for photoconductive and photovoltaic operation. Discuss the advantages and disadvantages of each mode of operation.
- (b) If the received power at a photodetector is 1 nW at a wavelength of 0.85 $\mu\text{m}$ , what is the probability that fewer than 3 photons will be received in an interval of 1 ns?
- (c) An optical link consists of a laser diode emitting light at 1.3  $\mu\text{m}$ , a fiber cable with 10 dB of loss, and a PIN photodetector with responsivity 0.5A/W. The detector's dark current is 2nA. The load resistance is 100 $\Omega$ . The total capacitance of the receiver circuit is 15pF and the receiver operates at 27°C. The system losses in addition to fiber attenuation include a 13dB power reduction owing to source coupling, and a 4 dB loss caused by various splices and connectors. The laser diode emits constant 2 mW of power and has RIN noise of -100dB/Hz. Calculate the signal-to-noise ratio at the receiver in dB.
- (d) What is a transimpedance amplifier and what are the advantages of an optical receiver using it?

## Marking Scheme

### Question 1

Marking: 20 marks distributed as

- (a) 4 marks
- (b) 2 marks
- (c) 11 marks
- (d) 3 marks

### Question 2

Marking: 20 marks distributed as

- (a) 5 marks
- (b) 5 marks
- (c) 10 marks

### Question 3

Marking: 20 marks distributed as

- (a) 12 marks
- (b) 4 marks
- (c) 4 marks

### Question 4

Marking: 20 marks distributed as

- (a) 4 marks
- (b) 5 marks
- (c) 3 marks
- (d) 8 marks

### Question 5

Marking: 20 marks distributed as

- (a) 10 marks
- (b) 7 marks
- (c) 3 marks

### Question 6

Marking: 20 marks distributed as

- (a) 6 marks
- (b) 4 marks
- (c) 8 marks
- (d) 2 marks